



DAL \$#3

FILE NO. A33882 - 007220.0135

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Waring et al.  
Serial No. : 09/973,574  
Filed : October 9, 2001  
For : CHEMICAL PROCESSING SYSTEM

PETITION TO ACCORD FILING DATE

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

December 3, 2001

Date of Deposit

Louis S. Sorell

Attorney Name

[Signature]  
Signature

32,439

PTO Registration No.

December 3, 2001

Date of Signature

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicants respectfully petition the Commissioner to accord the above-identified application a filing date of October 9, 2001.

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NY02:359287.1

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01/07/2002 BLUANK31 00000081 09973574

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126.00 50

In accordance with 37 C.F.R. § 1.10, the application was deposited as Express Mail with the United States Postal Service (USPS) on October 9, 2001. The number of the Express Mail mailing label, EK866934929US, is on the application transmittal letter and postcard, copies of which are enclosed. The application papers bearing Express mailing label EK866934929US were deposited as Express Mail with the USPS on October 9, 2001, as evidenced by the postmarked Express Mail receipt, a copy of which is enclosed, and by the Baker Botts express mail log dated October 9, 2001, a redacted copy of which is enclosed. The log is routinely maintained and notations are entered by the person who deposits the correspondence on the day of deposit.

On October 22, 2001, Applicants received a postcard from the USPTO indicating that the application had been accorded serial number 09/973,574 and a filing date of October 5, 2001. This petition is being submitted promptly upon Applicant becoming aware that the USPTO may accord this application a date other than the USPS deposit date. A copy of the postcard is enclosed.

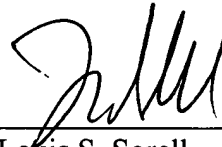
Applicants hereby respectfully petition to the Commissioner for a filing date of October 9, 2001 for the above-identified application.

FILE NO. A33882 - 007220.0135

PATENT

A check in the amount of \$130 in payment of the petition fee is enclosed.  
Any additional fees necessitated by this communication may be charged to Deposit  
Account No. 02-4377. Two copies of this paper are enclosed.

Respectfully submitted,



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Louis S. Sorell  
Patent Office Reg. No. 32,439

Attorney for Applicants  
(212) 408-2620

Enclosures

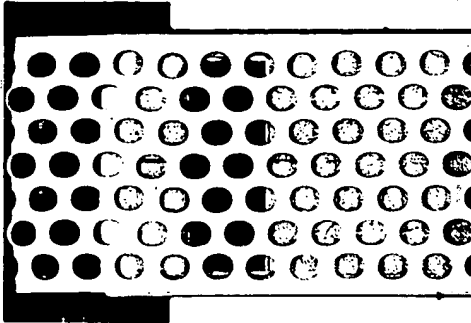
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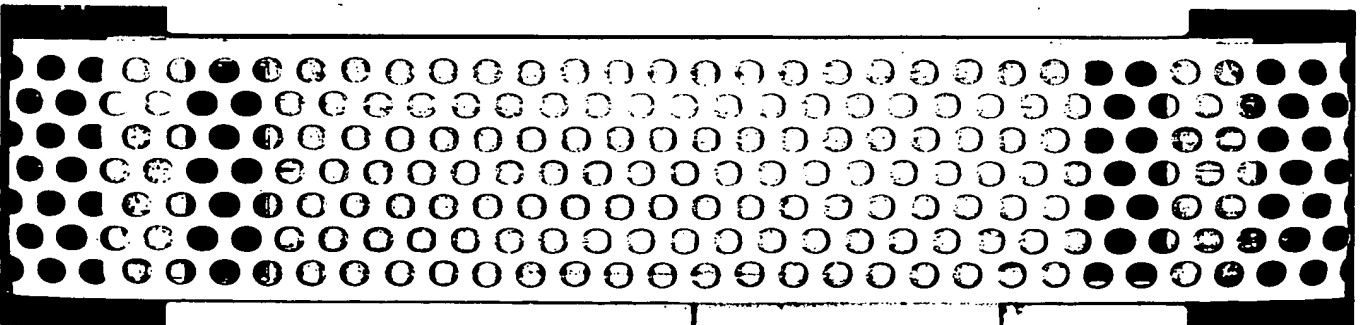
The stamp of the Patent Office Mail Room hereon acknowledges receipt on the date indicated by such stamp of patent application papers comprising 22 page(s) of specification, 5 page(s) of claims, 2 sheet(s) of drawings, a check in the amount of \$908 by applicant(s) for an invention entitled CHEMICAL PROCESSING SYSTEM




Express Label No.: EL866934929US

Mailing Date: October 9, 2001

Comments:



Please type a plus sign (+) inside this box → UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

A33882-007220.0135

First Inventor

Michael Waring

Title

CHEMICAL

\* see attached

Express Mail Label No.

EL866934929US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
- ☒ Claim(s) [Total Sheets 5]
- ☒ Abstract of the Disclosure [Total Sheets 1]
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages     ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: .....

Prior application information: Examiner .....

Group Art Unit: .....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



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Name (Print/Type)

Louis S. Sorell

Registration No. (Attorney/Agent)

32,439

Signature

Date

10-9-01

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## Addendum Sheet 1

## \*Question 4 Addendum

4.a. Drawings are ☐ formal ☒ informal

## \* Question 5 Addendum

5.c. ☐ An unsigned oath or declaration is included.

## \* Question 8 Addendum

8.d. ☐ A sequence submission will follow.

## \* Question 9 Addendum

9.a. ☒ Assignment documents will follow.9.b. ☐ Assignment documents have been filed in  
parent application No.

## \* Question 11 Addendum

11.a. ☐ English translation will follow.

## \* Question 12 Addendum

12.a. ☐ Copies of IDS citations will follow.

## \* Question 15 Addendum

15.a. ☐ Certified copies of priority documents will follow.15.b. ☐ Certified copies of priority documents have been filed in parent  
application No.

Use the space below for additional information

--

Title (continued):

CHEMICAL PROCESSING SYSTEM

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 908

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Michael Waring
Examiner Name	
Group Art Unit	
Attorney Docket No.	A33882-007220.0135

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**  
Deposit Account Name **Baker Botts LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	740
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
15	20** = 0	0	0
5	3** = 2	84	168
Multiple Dependent			

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 168

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

## SUBMITTED BY

Name (Print/Type)	Louis S. Sorell	Registration No. (Attorney/Agent)	32,439	Telephone	212-408-2620
Signature		Date	10-9-01		

Complete (if applicable)

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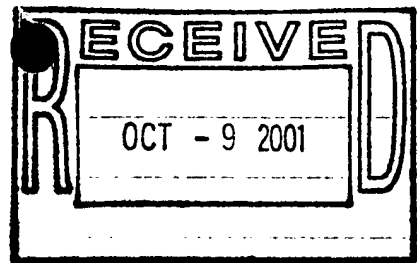
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- LSS A33592-Supl. IDS CM. Burberry et al.
- LSS A33323-Amendment After final Rejection CM. G.A. Allen
- LSS 34576-PCT-USA Assignment \$ 40.00 Aghvami et al.
- LSS A32795-PCT-USA IDS CM. Tseng
- LSS A33403-PCT-USA-A Response to Restriction Requirement \$ 110.00 CM
- JMM A34638 Pct-USA. Claim of Priority CM. Fritig et al.
- JMM A31913 Pct-usa-A Box Issue Fee \$ 1,280.00 CM
- JMM A31913 Pct-usa Name change \$ 80.00 CM
- BBG A34113-Pct-USA Letter Box Assignments
- BBG A34012-Notice \$ 130.00 CM. Kirumpigal et al.
- BBG A34306 Assignment \$ 40.00 CM Rotsch et al.
- BBG A34306 Notice \$ 240.00 CM Rotsch.
- 
- LSS 32883-PCT-USA-A CPA Request Trans. EL866934504 US. Pappas
- LSS A3882-Reg Appln EL866934929 US \$ 908.00
- LSS A32694-A-CPA Request trans. EL866934504 US \$ 1,014.00 Zhenget al.
- AG A33245 Request\* \$ 220.00 EL866934929 US Wei-Hsien Coe

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01/01/22 11/13/01

TO		
<i>[Signature]</i>		

File Number: A33882-007220.0135

The stamp of the Patent Office Mail Room hereon acknowledges receipt on the date indicated by such stamp of patent application papers comprising 22 page(s) of specification, 5 page(s) of claims, 2 sheet(s) of drawings, a check in the amount of \$908 by applicant(s) for an invention entitled CHEMICAL PROCESSING SYSTEM

Express Label No.: EL866934929US

Mailing Date: October 9, 2001

Comments:

1c996 U.S. PTO  
09/973574  
10/05/01

*1-5*  
*[Signature]*  
*ML*